POLICIES OF COLORADO STATE UNIVERSITY
IMPACT STATEMENT

<table>
<thead>
<tr>
<th>Policy Title:</th>
<th>Category:</th>
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<tbody>
<tr>
<td>RESEARCH DATA POLICY</td>
<td>Research</td>
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<table>
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<tr>
<th>☒ New Policy</th>
<th>Date Submitted:</th>
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<tbody>
<tr>
<td>☐ Revision of Existing Policy</td>
<td>Click here to enter text.</td>
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<tr>
<th>Policy Proponent/Owner:</th>
<th>Contact(s):</th>
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<tbody>
<tr>
<td>Vice President for Research</td>
<td>Kathy Partin, Assistant Vice President for Research</td>
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<tr>
<td>Vice President for Information Technology/Dean of Libraries</td>
<td>970-491-7194</td>
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POLICY DESCRIPTION AND BASIS

Research Data are a valuable asset to the University. This proposed policy would protect the faculty’s and University's property rights by addressing definition, responsibility, control, and distribution of Research Data produced during activities supported by the University, supported by external sponsors, or produced with University facilities, resources, or other personnel. This policy is applicable to Research Data developed by University faculty or staff in the course of their employment by the University. It assures that Research Data are adequately recorded, archived, retained, and accessible for sufficient time to support the associated research that produced the data and any intellectual property developed by that research. This policy supports academic freedom for free and broad dissemination of Research Data, consistent with University policy and needs. The absence of a policy represents a vulnerability for CSU, in that its researchers will not be aware of expectations with regard to Research Data, which may lead to allegations of Research Misconduct.

REQUIRED RESOURCES

No additional resources are required to implement and maintain the policy, as the Research Integrity Officer will take on these activities.

ANTICIPATED IMPACTS

The constituents who will likely be impacted by the policy if adopted are those who are engaged in the research enterprise at CSU, including faculty, staff, fellows and students. It is not anticipated that these impacted parties will incur any financial or other burden associated with the policy.
POLICY DEVELOPMENT

Policy development will be supported by representatives from The Office of the Vice President for Research and the Office of General Counsel.

STAKEHOLDER INPUT

Stakeholder input will be solicited from the Faculty Council, the Deans, Department Heads and Directors, the APC, the Libraries, the bioethics advisory committees, faculty who serve as principle investigators on grants and contracts, the Graduate School, and the Graduate Student Council.

ADMINISTRATIVE/MANAGEMENT/ASSESSMENT

The policy will be administered on an ongoing basis by the Research Integrity Officer in the Office of the Vice President for Research.

PROCEDURES, GUIDELINES AND FORMS

Specific procedures, guidelines and forms will be developed by the Research Integrity Officer and will be provided through the Office of the Vice President for Research to the Libraries, the Graduate School and online.

INTERNAL CONTROLS

The Research Integrity & Compliance Review Office will develop a process to perform periodic reviews of performance and compliance in connection with the policy, as part of their ongoing activities to ensure compliance with protocols and to maintain Quality Assurance standards for research performed at CSU. RICRO is staffed with personnel with the training required for these data audits, but may ask for Internal Audit’s assistance in developing an audit process.

REVIEW AND APPROVAL BY THE PRESIDENT’S CABINET:

☑ APPROVED TO PROCEED TO POLICY DEVELOPMENT

☐ NOT APPROVED

☐ MORE INFORMATION NEEDED:

By:  /original signature on file/

Lynn Johnson
Vice President for University Operations

Date:  November 10, 2015